PATENT COOPERATION TREATY

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ACTIONED ACTIONED	(PCT Rule 44.1) Date of mailing				
Applicant's or seconds as	(day/month/year)				
Applicant's or agent's file reference	02/10/2009				
P050903WO	FOR EURTUED 4				
International application No.	FOR FURTHER ACTION See paragraphs 1 and 4 below				
PCT/GB2009/001448	International filing date				
Applicant	(day/month/year) 10/06/2009				
	=07 007 2009				
CILAG GMBH INTERNATIONAL					
1. X The applicant is hereby notified that it					
Authority have been established and are transmitted by	arch report and the written opinion of the International Searching				
Filing of amendments and statement under Article 1s The applicant is entitled, if he so wishes to are	ewith. Spiritor of the International Searching				
The applicant is entitled, if he so wishes, to amend the ci When? The time limit for filing such amendments is a International Search Report	Bims of the Internation				
When? The time limit for filing such amendments is r International Search Report. Where? Directly to the International Divisor	normally two months from it				
Where? Directly to the late	and the date of transmittal of the				
1211 Genove 20 Guidional Bureau of WIPO	34 choming to a				
Instructions, see the notes and	127 000.02.70				
2. The applicant is hereby notified that no international	accompanying sheet.				
3. With record with the written opinion of the	accompanying sheet. ch report will be established and that the declaration under International Searching Authority are transmitted because				
a service payment of (an) additional and the service payment of (an) additional and (an) additional additional and (an) additional ad	ional fa-(-)				
the protection	1 Tille 40.2. The applicant is a real				
no decision has been made yet on the protest; the an	otest and the decision thereon to the designated Offices				
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International P expiration of 18 months from the arts in					
International Bureau. If the applicant wishes to avoid or postpone application, or of the priority claim, must reach the International Before the completion of the technical preparations for internation. The applicant may submit comments on an informal basis as a linear action.	ne international application will be published by the				
International Residence of an information	di publication.				
international preliminary examination as Bureau will send a copy of	Written opinion of the International Searching Authority				
International Bureau. The International Bureau will send a copy of the public but not before the expiration of 30 months from the priority date, but only in respect to examination may be sufficient to the priority date, but only in respect to examination must be fit.	stablished. These comments would be stablished.				
evamination from the priority date but only in	ny date.				
date (in some Offices even later); others to postpone the a	ne designated Offices, a demand for international prefit				
Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary acts for entry into the national phase before those designated Offices. In respect of other designated Offices, the time limit of 20 months. These comments would also be made available to examination must be filled if the applicant wishes to postpone the entry into the national phase until 30 months from the priority line respect of other designated Offices, the time limit of 20 months from the priority date, perform the prescribed months.					
in respect of other designated Offices, the time limit of 20	es. Priority date, perform the prescribed				
in the same of 50 months	(Or later) will apply and				
Guide, Volume II Notice 10 2018/301 and, for details about the	Within 19				
See the Annex to Form PCT/IB/301 and, for details about the applic Guide, Volume II, National Chapters and the WIPO Internet site.	able time limits, Office by Office, see the PCT Applicant				
	Applicant's				
Name and mailing address of the International Searching Authority A European Patent Office, R.P. Forces					
European Patent Office, P.B. 5818 Patentlaan 2	uthorized officer				
Tel. (+31-70) 340 3040 T	Elisabetta				
Fax: (+31-70) 340-3016	Elisabetta Sulis				
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NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the international Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Volume I/A, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
 "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
 "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
 "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1–10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

If a demand for international preliminary examination is made, the written opinion of the International Searching Authority will, except in certain cases where the International Preliminary Examining Authority did not act as International Searching Authority and where it has notified the International Bureau under Rule 66.1 bis(b), be considered to be a written opinion of the International Preliminary Examining Authority. If a demand is made, the applicant may submit to the International Preliminary Examining Authority a reply to the written opinion together, where appropriate, with amendments before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later (Rule 43bis.1(c)).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see the *PCT Applicant's Guide*, Volume II.

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER		see Form PCT/ISA/220
P050903WO	ACTION		as, where applicable, item 5 below.
International application No.	International filing date (day/mor	nth/year)	(Earliest) Priority Date (day/month/year)
PCT/GB2009/001448	10/06/200	9	19/06/2008
Applicant			
			·
CILAG GMBH INTERNATIONAL	·		
This international search report has been according to Article 18. A copy is being tra	orepared by this International Sea nsmitted to the International Bure	rching Autho	rity and is transmitted to the applicant
This international search report consists o	f a total ofsh	ets.	
X It is also accompanied by	a copy of each prior art document	cited in this	report.
a translation of the of a translation fur	pplication in the language in which international application into nished for the purposes of interna	it was filed tional search	, which is the language
authorized by or notified to	this Authority under Rule 91 (Ru	e 43.6 <i>bis</i> (a))).
c. With regard to any nucleo	tide and/or amino acid sequenc	e disclosed i	in the international application, see Box No. I.
2. Certain claims were four	nd unsearchable (See Box No. II)		
3. Unity of invention is lack	ing (see Box No III)		
4. With regard to the title,			
the text is approved as sul	omitted by the applicant		
X the text has been establish	ed by this Authority to read as fol	ows:	
REUSABLE AUTO-INJECTOR			
•			
			•
	$\mathbf{e}_{i} = \mathbf{e}_{i} + \mathbf{e}_{i}$		
4.2.			
5. With regard to the abstract,	•		
the text is approved as sub			·
the text has been establish may, within one month from	ed, according to Rule 38.2(b), by in the date of mailing of this intern	this Authority ational searc	y as it appears in Box No. IV. The applicant h report, submit comments to this Authority
6. With regard to the drawings,	•		·
a. the figure of the drawings to be pu	thlished with the abstract is Figure	No 3	
X as suggested by the		NO. <u>3</u>	
	Authority, because the applicant	alled to succ	nest a figure
-	Authority, because this figure bet	•	· · ·
	published with the abstract	. onaraoto	· · ·
<u> </u>			

international application No.

INTERNATIONAL SEARCH REPORT

PCT/GB2009/001448

Box No. IV Text of the abstract (Continuation of item 5 of the first sheet)

An injection device comprises a first sub-assembly. The first sub-assembly comprises a chamber (116) for holding a fluid. The chamber comprises an inner surface and an exit aperture (114). The first sub-assembly comprises a stopper (112) movably disposed within the chamber and having an outer surface substantially in contact with the inner surface about its perimeter. The first sub-assembly comprises an adapter (118) adapted to transfer fluid into the chamber.

International application No PCT/GB2009/001448

A. CLASSIFICATION OF SUBJECT MATTER INV. A61M5/178 A61M5/20

ADD. A61J1/20

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) $A61\mbox{M}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUM	IENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x ✓	US 2001/037087 A1 (KNAUER PETER M [US]) 1 November 2001 (2001-11-01) paragraphs [0026] - [0038]; figures 1-9	1-18
x ✓	US 6 090 070 A (HAGER JOERG-CHRISTIAN [DE]; GEBHART KURT [DE]; LOEWENICH HELMUT [DE];) 18 July 2000 (2000-07-18) column 16, line 19 - column 19, line 29; figures 1-9	1-18
X ✓ Y	US 2006/184137 A1 (REYNOLDS DAVID L [CA]) 17 August 2006 (2006-08-17) figures 27-40	1-6, 16-18 7-15
	-/ -	

X Further documents are listed in the continuation of Box C.	X See patent family annex.
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 28 September 2009	Date of mailing of the international search report 02/10/2009
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Björklund, Andreas

International application No
PCT/GB2009/001448

C(Continua	ion). DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/GB200	79/ 001440
Category*	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
γ ⁄	WO 2007/036676 A1 (CILAG GMBH INT [CH]; HABESHAW ROSIE LOUISE [GB]; JOHNSTON DAVID MAXWEL) 5 April 2007 (2007-04-05) page 8, line 8 - page 13, line 2; figures 1-8	7-15	
y *	FR 2 654 938 A1 (GLAXO GROUP LTD [GB]) 31 May 1991 (1991-05-31) page 19, line 22 - page 20, line 17; figures 1-24		12–15
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information on patent family members

International application No
PCT/GB2009/001448

	atent document d in search report		Publication date		Patent family member(s)	Publication date
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				HK	19295 A	17-02-1995
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r K	2654938	A1		IE IL	904240 A1 96487 A	05-06-1991 26-05-1995
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Information on patent family members

International application No
PCT/GB2009/001448

Patent document cited in search report	Publication date		Patent family member(s)	Publication date	
		PT SE SE RU US ZA	96005 A 469262 B 9003776 A 2108116 C1 5137516 A 9009514 A	31-08-1992 14-06-1993 29-05-1991 10-04-1998 11-08-1992 27-11-1991	

Form PCT/ISA/210 (patent family annex) (April 2005)

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY To: WRITTEN OPINION OF THE see form PCT/ISA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/GB2009/001448 10.06.2009 19.06.2008 International Patent Classification (IPC) or both national classification and IPC INV. A61M5/178 A61M5/20 ADD. A61J1/20 Applicant **CILAG GMBH INTERNATIONAL** This opinion contains indications relating to the following items: Box No. I Basis of the opinion ☐ Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited ☐ Box No. VII Certain defects in the international application ☐ Box No. VIII Certain observations on the international application **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA: Date of completion of Authorized Officer this opinion

see form

PCT/ISA/210

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European Patent Office

Fax: +49 89 2399 - 4465

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2009/001448

_	Во	x N	o. I	Basis of the opinion	_
1.	Wit	h re	egard	d to the language , this opinion has been established on the basis of:	
	Ø	the	e inte	ernational application in the language in which it was filed	
		a t pu	trans irpos	slation of the international application into , which is the language of a translation furnished for the ses of international search (Rules 12.3(a) and 23.1 (b)).	
2.		Th by	is or or n	pinion has been established taking into account the rectification of an obvious mistake authorize notified to this Authority under Rule 91 (Rule 43bis.1(a))	d
3.	Wit	h re ess	gard sary i	d to any nucleotide and/or amino acid sequence disclosed in the international application and to the claimed invention, this opinion has been established on the basis of:	
	a. t	ype	of n	naterial:	
			a se	equence listing	
	-		tabl	le(s) related to the sequence listing	
	b. f	orm	at of	f material:	
]	on p	paper	
	ſ		in e	electronic form	
	c. ti	me	of fil	ling/furnishing:	
	[con	tained in the international application as filed.	
	I		filed	together with the international application in electronic form.	
	[furn	hished subsequently to this Authority for the purposes of search.	
4.		ha	s be pies	tion, in the case that more than one version or copy of a sequence listing and/or table relating there en filed or furnished, the required statements that the information in the subsequent or additional is identical to that in the application as filed or does not go beyond the application as filed, as riate, were furnished.	to
5.	Ado	itio	nal c	comments:	

Box No. V Reasoned statement under Rule 43*bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

No: (

Claims 1-18

Inventive step (IS)

Yes: Claims

No: Claims

<u>1-18</u>

Industrial applicability (IA)

Yes: Claims

<u>1-18</u>

No: Claims

2. Citations and explanations

see separate sheet

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following documents:
- D1 US 2001/037087 A1 (KNAUER PETER M [US]) 1 November 2001 (2001-11-01)
- D2 US 6 090 070 A (HAGER JOERG-CHRISTIAN [DE]; GEBHART KURT [DE]; LOEWENICH HELMUT [DE];) 18 July 2000 (2000-07-18)
- D3 US 2006/184137 A1 (REYNOLDS DAVID L [CA]) 17 August 2006 (2006-08-17)
- D4 WO 2007/036676 A1 (CILAG GMBH INT [CH]; HABESHAW ROSIE LOUISE [GB]; JOHNSTON DAVID MAXWEL) 5 April 2007 (2007-04-05)
- D5 FR 2 654 938 A1 (GLAXO GROUP LTD [GB]) 31 May 1991 (1991-05-31)
- 2. The present application does not meet the criteria of Article 33(1) PCT, because the subject matter of claims 1-18 is not new in the sense of Article 33(2) PCT.
- 2.1. The document D1 discloses (the references in parentheses applying to this document):

An injection device (figs. 1-9) comprising:

- a first sub-assembly (300) comprising:
- a chamber (302) for holding a fluid, said chamber comprising an inner surface and an exit aperture;
- a stopper (303) movably disposed within the chamber and having an outer surface substantially in contact with the inner surface about its perimeter; and
- an adapter (301) adapted to transfer fluid into the chamber.

The subject-matter of claim 1 is therefore not new (Article 33(2) PCT).

2.2. Also the documents D2-D3 (see references in search report) disclose the subject-matter of claim 1. It is noted that the wording of claim 1 is so broad that also a regular syringe deprives the subject-matter of claim 1 of novelty (Article 33(2) PCT) since the piston rod of the syringe can be regarded as the adapter.

- 2.3. The same reasoning applies, mutatis mutandis, to the subject matter of the corresponding independent claim 17, which therefore is also considered not new (Article 33(2) PCT).
- 2.4. The documents D1-D3 (see references in search report) disclose the subject-matter of claim 16 (Article 33(2) PCT).
- 2.5. Claims 1 and 17 have been drafted as independent claims and have at least partly overlapping scope. Drafting such a plurality of independent claims with overlapping scope makes it impossible to clearly delimit the subject matter which could represent the invention for which protection is sought, so that the claims as a whole fail to comply with the clarity and conciseness requirements of Article 6 PCT.
- 2.6. The subject-matter of claims 1 and 17 is not clear (Article 6 PCT) since the last lines of the claims define by a result to be achieved, i.e.. "adapter adapted to transfer fluid into the chamber". However, in the present application it would have been possible to define the technical features necessary for achieving this result (PCT Guidelines 5.35).
- 2.7. The scope of claim 1 is much broader than what is actually justified by the disclosure of the application (Article 6 PCT and PCT guidelines 5.43). The application discloses only one possible injection device, namely an auto-injector device, whereas the claims encompass any injection device. That the scope of the claims is much broader than what is justified is underlined by the description which describes that the problem to be solved is to overcome the complicated and expensive manufacturing process of auto-injectors.
- 3. Dependent claims 2-15 and 18 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or inventive step, since they merely define trivial design options for injection devices which are known in the art, see documents D1-D5 and the corresponding passages cited in the search report.
- 4. Claim 1 is not drafted in the two-part form (Rule 6.3(b) PCT) and none of the claims are provided with reference signs (Rule 6.2(b) PCT).
- 5. Documents D1-D3 are not mentioned in the description (Rule 5.1(a)(ii) PCT).

Possible steps after receipt of the international search report (ISR) and written opinion of the International Searching Authority (WO-ISA)

General information

For all international applications filed on or after 01/01/2004 the competent ISA will establish an ISR. It is accompanied by the WO-ISA. Unlike the former written opinion of the IPEA (Rule 66.2 PCT), the WO-ISA is not meant to be responded to, but to be taken into consideration for further procedural steps. This document explains about the possibilities.

under Art. 19 PCT

Amending claims Within 2 months after the date of mailing of the ISR and the WO-ISA the applicant may file amended claims under Art. 19 PCT directly with the International Bureau of WIPO. The PCT reform of 2004 did not change this procedure. For further information please see Rule 46 PCT as well as form PCT/ISA/220 and the corresponding Notes to form PCT/ISA/220.

Filing a demand for international preliminary examination

In principle, the WO-ISA will be considered as the written opinion of the IPEA. This should, in many cases, make it unnecessary to file a demand for international preliminary examination. If the applicant nevertheless wishes to file a demand this must be done before expiry of 3 months after the date of mailing of the ISR/WO-ISA or 22 months after priority date, whichever expires later (Rule 54bis PCT). Amendments under Art. 34 PCT can be filed with the IPEA as before, normally at the same time as filing the demand (Rule 66.1 (b) PCT).

If a demand for international preliminary examination is filed and no comments/amendments have been received the WO-ISA will be transformed by the IPEA into an IPRP (International Preliminary Report on Patentability) which would merely reflect the content of the WO-ISA. The demand can still be withdrawn (Art. 37 PCT).

Filing informal comments

After receipt of the ISR/WO-ISA the applicant may file informal comments on the WO-ISA directly with the International Bureau of WIPO. These will be communicated to the designated Offices together with the IPRP (International Preliminary Report on Patentability) at 30 months from the priority date. Please also refer to the next box.

End of the international phase

At the end of the international phase the International Bureau of WIPO will transform the WO-ISA or, if a demand was filed, the written opinion of the IPEA into the IPRP, which will then be transmitted together with possible informal comments to the designated Offices. The IPRP replaces the former IPER (international preliminary examination report).

Relevant PCT Rules and more information

Rule 43 PCT, Rule 43bis PCT, Rule 44 PCT, Rule 44bis PCT, PCT Newsletter 12/2003, OJ 11/2003, OJ 12/2003